STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner:Brett				
Application No./Patent No.: Filed/Issue Date: November 24, 2003				
Entitled: EPIDURAL THERMAL POSTERIOR ANNULOPLASTY				
Expanding Concepts, LLC, acorporation				
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)			
states that it is: 1.	; or			
2. an assignee of less than the entire right, title and The extent (by percentage) of its ownership inter in the patent application/patent identified above by virtue.	rest is%			
A. [X] An assignment from the inventor(s) of the paten in the United States Patent and Trademark Office attached.	t application/patent identified above. The assignment was recorded at Reel, Frame, or for which a copy thereof is			
OR				
B. [] A chain of title from the inventor(s), of the patent below:	application/patent identified above, to the current assignee as shown			
To: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. To: To: To:				
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 [] Additional documents in the chain of title are listed on a supplemental sheet. [] Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] 				
The undersigned (whose title is supplied below) is auth	orized to act on behalf of the assignee.			
November 24, 2003	Karen Dana Oster			
Date (503) 810-2560	Typed or printed name,			
Telephone number	Signature			
	Patent Attorney 37,621			
	Title			

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Filing Date	November 24, 2003
First Named Inventor	Breit
Title	EPIDURAL THERMAL POSTERIOR ANNULOPLASTY
Art Unit	
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CHARGING AND OF CA		Applicant or As	signee of R	lecord	
Name Darrell C. Brett	Y/1.C.1				
Signature	WALKER	* • • • • • • • • • • • • • • • • • • •			· · · · · ·
Date 11/24/2003	124			Telephone (503) 253-4000
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First Named Inventor	Brett
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Applicant/Inventor.				
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	SIGNATURE of Applica	nt or Assignee of	Record	
Name Darrell C. Brett,	A of	Expanding Co	ncepts, LLC (with	signing authority)
Signature		line		
Date 11/24/2003		,) 253-4000
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	and	Title	EMOURAL THERMAL POSTERIOR ANGLIOPLASTY
	CORRESPONDENCE ADDRESS	Art Unit	
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Applicant/Inventor.						
Assignce of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is analosad. (Fprm PTO/SB/96)						
SIGNATURE of Applicant or Assignee of Record						
Name Darrell C. Brett, //			oncepts, LLC (wit	h signing authority)		
Signature	MU (messe	den!	1 Volenhama 1			
Date 11/24/2003	Date 11/24/2003 Telephone (503) 253-4000					
NOTE: Signatures of all the inventors or easymees of record of the entire Interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.						
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November 24, 2003

Brett

and	Title		EPIDURAL 1	THERMAL POSTERIOR APPAULO	PLASTY
CORRESPONDENCE ADDRESS	Art Unit	<u></u>			
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SIGNATURE of		ssignee of R	scord		
Name Darrell C. Brett					
Signature					
Date 11/24/2003			Telephone	(503) 253-4000	
NOTE: Signatures of all the inventors or assigness of record of the entrance if more than one signature is required, see below.	tire interest or the	representative	(a) are required. E	Submit muliple	
Total of 2 forms are submitted.					

Filing Date

First Named Inventor

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN **APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	EPIDURAL THERMAL	POSTERIOR ANNULOPLASTY
As the below named inventor(s), t/we declare that:		
This declaration is directed to:		
	The attached application, or	
	Application No.	, filed on November 24, 2003
	as amended on	(if applicable);
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;		
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;		
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.		
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisorment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.		
FULL NAME OF INVENTOR(S)		
Inventor one: Darrell & Brett		
Signature:		Citizen of: U.S.
Inventor two:		
Signature:		Citizen of:
Inventor three:		
Signature:		Citizen of:
Inventor four.		
Signature:		Citizen of:
	ntors or a legal representative are being nam	
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application, Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Tradement Office, U.S. Oppartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.D. Box 1450, Alexandria, VA 22313-1450.		